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PAT TY COOPERATION TREATY

| PCT | To: | | |
|---|--|--|--|
| NOTIFICATION OF THE RECORDING OF A CHANGE (PCT Rule 92bis.1 and Administrative Instructions, Section 422) Date of mailing (day/month/year) 27 March 2001 (27.03.01) | HAWKINS, Michael, Howard Baldwin Shelston Waters P.O. Box 852 Wellington 6001 NOUVELLE-ZÉLANDE | | |
| Applicant's or agent's file reference JT214573/142 | IMPORTANT NOTIFICATION | | |
| International application No. PCT/NZ00/00126 | International filing date (day/month/year) 14 July 2000 (14.07.00) | | |
| The following indications appeared on record concerning: the applicant the inventor | X the agent the common representative | | |
| Name and Address | State of Nationality State of Residence | | |
| | Telephone No. | | |
| | Facsimile No. | | |
| | Teleprinter No. | | |
| 2. The International Bureau hereby notifies the applicant that t | he following change has been recorded concerning: | | |
| X the person X the name X the add | | | |
| Name and Address HAWKINS, Michael, Howard Baldwin Shelston Waters P.O. Box 852 Wellington 6001 New Zealand | State of Nationality State of Residence Telephone No. (04) 472-1094 | | |
| New Zealand | Facsimile No. (04) 473-6712 | | |
| | Teleprinter No. | | |
| 3. Further observations, if necessary: A new agent has been appointed. Please note the well. | at the agent's file reference has changed as | | |
| 4. A copy of this notification has been sent to: | | | |
| X the receiving Office | the designated Offices concerned | | |
| the International Searching Authority X the International Preliminary Examining Authority | X the elected Offices concerned other: | | |
| | Authorized officer | | |
| The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland | F. Baechler | | |

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35

Copy for the designated Office (DO/US) PAT T COOPERATION TREAT

| | From the INTERNATIONAL BUREAU | | | |
|---|--|--|--|--|
| PCT | То: | | | |
| NOTIFICATION OF THE RECORDING OF A CHANGE (PCT Rule 92bis.1 and Administrative Instructions, Section 422) Date of mailing (day/month/year) 07 March 2001 (07.03.01) | TAYLORS ENGINEERING (BLENHEIM) LIMITED 47 Grove Road Blenheim NOUVELLE-ZÉLANDE | | | |
| Applicant's or agent's file reference | IMPORTANT NOTIFICATION | | | |
| International application No. PCT/NZ00/00126 | International filing date (day/month/year) 14 July 2000 (14.07.00) | | | |
| The following indications appeared on record concerning: the applicant | X the agent the common representative | | | |
| Name and Address | State of Nationality State of Residence | | | |
| BUCHANAN, Elspeth, Victoria P.L. Berry & Associates P.O. Box 1250 Christchurch | Telephone No. | | | |
| New Zealand | Facsimile No. | | | |
| | Teleprinter No. | | | |
| 2. The International Bureau hereby notifies the applicant that the | he following change has been recorded concerning: | | | |
| X the person the name the add | | | | |
| Name and Address TAYLORS ENGINEERING (BLENHEIM) | State of Nationality State of Residence | | | |
| LIMITED 47 Grove Road Blenheim | Telephone No. | | | |
| New Zealand | Facsimile No. | | | |
| | Teleprinter No. | | | |
| 3. Further observations, if necessary: | | | | |
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| X the receiving Office | X the designated Offices concerned | | | |
| the International Searching Authority | the elected Offices concerned | | | |
| the International Preliminary Examining Authority | other: | | | |
| The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland | Authorized officer C. Cupello | | | |
| Faccionillo No. (41, 22) 740, 14, 25 | Telephone No : (41-22) 338 83 38 | | | |

REC'D 13 NOV 2001

INTERNATIONAL PRELIMINARY EXAMINATION REPORTO

PCT

(PCT Article 36 and Rule 70)

| Applicant's or agent's file reference JT214573-142 | FOR FURTHER ACTION | See Notification of T Examination Report | Fransmittal of International Preliminary (Form PCT/IPEA/416). | | |
|--|--|---|---|--|--|
| International Application No. PCT/NZ00/00126 | International Filing Da 14 July 2000 | te (day/month/year) | Priority Date (day/month/year) 16 July 1999 | | |
| International Patent Classification (IPC) | or national classification | and IPC | | | |
| Int. Cl. ⁷ B65G 65/23, 69/16 | | | | | |
| Applicant | | | | | |
| TAYLORS ENGINEERING (| BLENHEIM) LIMITI | ED et al | | | |
| | | | | | |
| This international preliminary and is transmitted to the applic | examination report has be ant according to Article | oeen prepared by this Ir 36. | nternational Preliminary Examining Authority | | |
| 2. This REPORT consists of a tot | tal of 4 sheets, includ | ing this cover sheet. | | | |
| X This report is also accombeen amended and are the Rule 70.16 and Section 6 | e basis for this report an | d/or sheets containing i | ption, claims and/or drawings which have rectifications made before this Authority (see PCT). | | |
| These annexes consist of a tota | of 5 sheet(s). | | | | |
| 3. This report contains indications relatir | ng to the following items | : | | | |
| I X Basis of the report | t | | | | |
| II Priority | | | | | |
| III Non-establishmen | t of opinion with regard | to novelty, inventive st | ep and industrial applicability | | |
| IV Lack of unity of ir | nvention | | | | |
| V Reasoned statement citations and explain | nt under Article 35(2) w anations supporting such | ith regard to novelty, in statement | nventive step or industrial applicability; | | |
| VI Certain documents | s cited | | | | |
| VII Certain defects in | the international applica | tion | | | |
| VIII Certain observation | ons on the international a | pplication | | | |
| Date of submission of the demand | D | ate of completion of the | e report | | |
| 16 February 2001 | | November 2001 | - 9 NOV 2001 | | |
| Name and mailing address of the IPEA/AU | Au | uthorized Officer | | | |
| AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTR | RALIA | | | | |
| E-mail address: pct@ipaustralia.gov.au Facsimile No. (02) 6285 3929 | | i.J. O'ROURKE | | | |
| 1 destrine 110. (02) 0203 3727 | | Telephone No. (02) 6283 2017 | | | |

| I. | Basis of the report |
|----|--|
| 1. | With regard to the elements of the international application:* |
| | the international application as originally filed. |
| | X the description, pages 1 to 8, as originally filed, |
| | pages, filed with the demand, |
| | pages, received on with the letter of |
| | X the claims, pages, as originally filed, |
| | pages, as amended (together with any statement) under Article 19, |
| | pages, filed with the demand, |
| | pages 9 to 13, received on 3 July 2001 with the letter of 3 July 2001 |
| | X the drawings, pages 1 to 4, as originally filed, |
| | pages, filed with the demand, |
| | pages, received on with the letter of |
| | the sequence listing part of the description: |
| | pages, as originally filed |
| | pages, filed with the demand |
| _ | pages, received on with the letter of |
| 2. | With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item. |
| | These elements were available or furnished to this Authority in the following language which is: |
| | the language of a translation furnished for the purposes of international search (under Rule 23.1(b)). |
| | the language of publication of the international application (under Rule 48.3(b)). |
| | the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3). |
| 3. | With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international |
| | preliminary examination was carried out on the basis of the sequence listing: |
| | contained in the international application in written form. |
| | filed together with the international application in computer readable form. |
| | furnished subsequently to this Authority in written form. |
| | furnished subsequently to this Authority in computer readable form. |
| | The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished. |
| | The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished |
| 4. | The amendments have resulted in the cancellation of: |
| | the description, pages |
| | the claims, Nos. |
| | the drawings, sheets/fig. |
| 5. | This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).** |
| * | Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17). |
| ** | Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report |

| v. | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations |
|----|--|
| | and explanations supporting such statement |

| 1. Stat | tement | | | |
|---------|-------------------------------|----------|--------------------|-----|
| | Novelty (N) | Claims : | 5 to 7 and 9 to 22 | YES |
| | | Claims : | 1 to 4 and 8 | NO |
|] | Inventive step (IS) | Claims | 14 to 22 | YES |
| | | Claims | 14 to 22 | NO |
| 1 | Industrial applicability (IA) | Claims | 1 to 22 | YES |
| | | Claims | | NO |

2. Citations and explanations (Rule 70.7)

The following documents were identified in the International Search Report:

- D1. DE 3238245
- D2. DE 3616700
- D3. EP 264925
- D4. US 5193882

The following documents are <u>New Citations</u>. These have become relevant due to the substantial nature of the amendments to the claims since the International Search Report:

- D5. EP 242820 A (OSAKA TAIYU CO LTD) 28 October 1987
- D6. WO 95/18759 A (WASTECH DEVELOPMENTS PTY LTD) 13 July 1995
- D7. FR 2539143 A (DEGUILLAUME) 13 July 1984
- D8. US 5306110 A (CLINE) 26 April 1994

Novelty - Claims

Claims 1 to 4 and 8 are not novel in light of the above documents.

The device of D1 has a support structure, and a receptacle with an opening in one end, the receptacle being rotatable about a pivotal connection with the support, as illustrated in the figures. The English language abstract (Derwent Abstract Accession No. 84-106156/18 Class. Q35, Q38) states at the end of the first paragraph "at the top of travel, the bin is swung about the axis of the hinge in a controlled path for complete discharge". The manner of the discharge is therefore "controlled", admittedly not in the sense that the discharge in the present claims is intended to be controlled, but controlled nonetheless.

The device of D2 similarly anticipates claim 1. The vehicles of D3 and D4 anticipate claim 1 and claims 3 and 4 (a pair of hydraulic actuators).

D5 anticipates claims 1 to 4. The collar comprises holding members 7 and 8. The rotating is controlled by the hydraulic actuators.

(Continued in Supplemental Box)

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of Box V

D6 is discussed in the specification, but nevertheless anticipates claims 1 and 3. The hydraulic actuator controls the rotation of the receptacle. In the specification this document is distinguished from the claimed invention in that "the rate which the bin is lifted and inverted is not controlled in any way" - but this is not a claimed limitation. Even if it were to become a claimed limitation, dumping the rubbish out of the bin quickly is nevertheless a particular manner of controlling the rate at which the bin is lifted and inverted.

D7 discloses a winemaking vessel with discharging piston. The contents of this vessel can be broadly considered as "grape material". This document anticipates claims 1, 3 and 8.

D8 anticipates claims 1 and 2. The collar is clearly illustrated.

Claim 1 includes the limitation "for handling grape material". This does not substantially limit the type of containers that fall within the scope of claim 1. Many containers are intrinsically suitable for handling grape material. There is no requirement for the documents to explicitly mention suitability for handling grape material. As long as the containers would be suitable for handling the grape material, this requirement is met.

Inventive Step - Claims 3, 8, 9, 11 and 12

Claim 3 lacks an inventive step in light of D1. Use of hydraulics is well known and not inventive.

Claim 8 lacks an inventive step in light of any one of documents D1 to D8 considered separately. Claim 8 concerns tipping grape material from one receptacle to another. In addressing such a problem, a skilled person would ascertain any documents concerning tipping and emptying of containers, and in particular, would have found these documents. Supplying grape material using the device of any one of these documents directly anticipates each and every feature of claim 8. Hence claim 8 lacks an inventive step.

Claims 9, 11 and 12 also lack an inventive step in light of any one of these documents. These claims represent only standard aspects of wine making and grape handling, and are therefore obvious additions.

In particular, claims 9, 11 and 12 lack an inventive step in light of D7. These are obvious uses of the winemaking vessel disclosed in this document.

Claims 5 and 13 lack an inventive step in light of either D5 or D8, considered individually. These documents disclose all of the claimed features, except that in the case of claim 5, the rams are not connected to the collar, and in the case of claim 13, the container is not supported clear of the ground. However, this minor variation is well within the ordinary capacity of a person skilled in the art, and hence is no more than an obvious and non-inventive feature.

Claims 6, 7 and 10 lack an inventive step in light of any one of documents D1 to D8, when considered individually. These claims differ from the disclosure of the citations in that they define more than one receptacle. The addition of a further receptacle is a non-inventive variation, well within the capacity of an ordinary person skilled in the art, with obvious advantages, and hence lacks an inventive step.



CLAIMS

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- 1. An apparatus for handling grape material including:
 - a support structure;

with the support structure; and

a receptacle for containing a grape material having an opening at one end and being rotatable about a pivotal connection

an actuator for rotating the receptacle in a controlled manner from an upright position in which a grape material may be contained in the receptacle to a tipped position in which the contents of the receptacle may be emptied via the opening.

- 2. An apparatus as claimed in claim 1 wherein the receptacle includes a collar that is pivotally connected to the support structure and wherein the actuator rotates the collar with respect to the support structure.
- 3. An apparatus as claimed in claim 1 or claim 2 wherein the actuator is an hydraulic actuator.
- 4. An apparatus as claimed in any one of the preceding claims wherein the actuator comprises a pair of rams provided on either side of the receptacle, each connected at one end to the support structure and at the other end to the receptacle at a point away from the pivotal connection.
- 5. An apparatus as claimed in claim 4 wherein the hydraulic rams are connected to the collar.
- 6. An apparatus as claimed in any one of the preceding claims wherein the support structure supports a plurality of receptacles which each tip their contents into a common region.

AMENDED SHEET IPEA/AU



- 7. An apparatus as claimed in claim 6 wherein a pair of receptacles are provided in an opposing configuration and empty their contents into a region between the two receptacles.
- 8. A method of supplying grape material from a bulk receptacle to a receiving receptacle including the steps of:

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- i) supplying grape material to the bulk receptacle; and
- ii) actuating an actuator which tilts the bulk receptacle relative to the support frame in a controlled manner to deliver the grape material directly or indirectly to a receiving receptacle.
- 9. A method as claimed in claim 8 wherein the bulk receptacle is tilted in a number of steps in order to supply grape material to the receiving receptacle at a desired rate.
- 10. A method as claimed in claim 9 or claim 10 wherein a plurality of bulk receptacles are employed and grape material is sequentially supplied from each bulk receptacle to supply grape material to the receiving receptacle.
- 11. A method as claimed in any one of claims 8 to 10 wherein the receiving receptacle is a press.
- 12. A method as claimed in any one of claims 8 to 10 wherein the receiving receptacle is a conveyor.
 - 13. The combination of apparatus for emptying a receptacle, and a receptacle, comprising:

a stationary support frame adapted to be supported upon the ground or equivalent supporting surface, said support frame providing a framework which at least partially surrounds the receptacle;

AMENDED SHEET



securing means for securing said receptacle to said support frame, such that said receptacle is suspended in a substantially upright position clear of the ground and of said framework; said securing means including a collar which at least partially surrounds said receptacle and is secured thereto; said collar being pivoted to said support frame;

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moving means mounted between said collar and said support frame; said moving means being operable to move said receptacle relative to said support frame such that said receptacle may be tilted in a controlled manner between a first, substantially upright, position within said support frame and a second, raised and inclined, position outside of said support frame.

- 14. The combination as claimed in claim 13 comprising a cat walk rigidly secured to said support frame at just below the level of the top of the receptacle in said second position.
- 15. The combination as claimed in claim 13 or claim 14 wherein said support frame is dimensioned and arranged to provide one or more supporting legs beneath the point of balance of the receptacle in said second position.
- 16. The combination as claimed in any one of claims 13 to 15, further comprising a gantry rigidly secured to said support frame, said gantry extending horizontally just below the level of the top of the receptacle in said second position.
- 17. The combination as claimed in claim 16 wherein said gantry supports a pouring guide which is vertically below an outlet in or adjacent the top of the receptacle, when said receptacle is in said second position.
- 18. The combination as claimed in claim 16 or claim 17 wherein

AMENDED SHEET
IPEA/AL



the end of the gantry remote from said support frame is securable to a wall, the ground or equivalent support.

19. The combination of apparatus for emptying two receptacles, and two receptacles, comprising;

a pair of opposed stationary support frames each adapted to be supported upon the ground of equivalent supporting surface, one support frame providing a framework which at least partially surrounds one of the receptacles, and the other support frame providing a framework which at least partially surrounds the other of the receptacles;

securing means for securing each receptacle to the corresponding support frame, such that said receptacle is suspended in a substantially upright position clear of the ground and of said corresponding framework; each said securing means including a collar which at least partially surrounds said corresponding receptacle and is secured thereto; each said collar being pivoted to said corresponding support frame;

moving means mounted between each said collar and said corresponding support frame; each said moving means being operable to move said corresponding receptacle relative to said corresponding support frame such that said receptacle may be tilted in a controller manner between a first, substantially upright, position within said corresponding support frame and a second, raised and inclined, position outside of said corresponding support frame; and

a gantry rigidly secured between said opposed support frames, said gantry extending horizontally just below the level of the top of said receptacles in said second position.

20. The combination as claimed in claim 19 wherein said gantry supports a pouring guide, which is positioned so as to be vertically below an outlet in or adjacent the top of either of the receptacles,

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when said receptacle is in said second position.

21. The combination as claimed in claim 19 or 20, wherein said gantry also provides one or more cat walks.

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22. The combination as claimed in any one of claims 13 to 21 wherein the or each said moving means comprises a pair of spaced hydraulic rams.

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T\$PEC66621

INTERNATIONAL SEARCH REPORT

International application No.

PCT/NZ00/00126

| Α. | CLASSIFICATION OF SUBJECT MATTER | | | | | |
|---|--|---|------------------------|--|--|--|
| Int. Cl. 7: | B65G 65/23, 69/16 | | | | | |
| According to | International Patent Classification (IPC) or to both | h national classification and IPC | | | | |
| В. | FIELDS SEARCHED | | | | | |
| | umentation searched (classification system followed by | classification symbols) | | | | |
| B65G, B65E | | | | | | |
| Documentation AU B65G 65 | n searched other than minimum documentation to the ex | tent that such documents are included in th | e fields searched | | | |
| | base consulted during the international search (name of | f data base and, where practicable, search to | erms used) | | | |
| DWPI | - | | , | | | |
| C. | DOCUMENTS CONCIDENTS TO DE DEL EVAN | · | | | | |
| | DOCUMENTS CONSIDERED TO BE RELEVAN | | | | | |
| Category* | Citation of document, with indication, where app | | Relevant to claim No. | | | |
| | DE 3238245 A (OTTO DIETERLE MASC: 26 April 1984 | HINENBAU GMBH & CO KG) | | | | |
| X | 26 April 1984 | | | | | |
| X | DE 3616700 C1 (BODRICH & STRECKEI Whole document | DE 3616700 C1 (BODRICH & STRECKER) 8 October 1987 Whole document 1 to 3 | | | | |
| EP 264925 A (NORMANN BOCK) 27 April 1988 X Whole document | | 1 to 3 | | | | |
| X | Further documents are listed in the continuation | on of Box C X See patent fam | ily annex | | | |
| • | al categories of cited documents: | The second production and the second | | | | |
| not cor | nent defining the general state of the art which is nsidered to be of particular relevance | priority date and not in conflict with to understand the principle or theory und | derlying the invention | | | |
| "E" earlier the into | application or patent but published on or after "X ternational filing date | document of particular relevance; the be considered novel or cannot be considered. | | | | |
| "L" docum | nent which may throw doubts on priority claim(s) ich is cited to establish the publication date of "Y | inventive step when the document is t | aken alone | | | |
| | another citation or other special reason (as specified) be considered to involve an inventive step when the document i combined with one or more other such documents, such | | | | | |
| "P" docum | or other means combination being obvious to a person skilled in the art | | | | | |
| | al completion of the international search | Date of mailing of the international gearch | report | | | |
| 24 November | r 2000 | Date of mailing of the international granch | | | | |
| | me and mailing address of the ISA/AU Authorized officer ISTRALIAN PATENT OFFICE | | | | | |
| PO BOX 200, V | D BOX 200, WODEN ACT 2606, AUSTRALIA | | | | | |
| | mail address: pct@ipaustralia.gov.au acsimile No. (02) 6285 3929 Telephone No : (02) 6283 2017 | | | | | |

INTERNATIONAL SEARCH REPORT

International application No.

PCT/NZ00/00126

| C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT | | | | |
|---|--|-----------------------|--|--|
| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. | | |
| Х | US 5193882 A (GAMALDI) 16 March 1993 Whole document | 1 to 3 | | |
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INTERNATIONAL SEARCH REPORT Information on patent family members

International application No. PCT/NZ00/00126

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

| Patent Document Cited in Search Report | | | | Paten | t Family Member | | |
|---|---------|-----|----------|-------|-----------------|----|--------------|
| DE | 3238245 | NIL | | | | | |
| DE | 3616700 | NIL | | | | | |
| EP | 264925 | DE | 3636037 | US | 5082416 | | |
| US | 5193882 | AU | 26020/92 | · DE | 4232541 | FR | 2681820. |
| | | П | 1263294 | US | 5193882 | CA | 2079527 |
| | | - | | | | | END OF ANNEX |